

Mapping the UK Quality Code for Higher Education and the Office for Students Conditions of Registration

This document supports providers to see the alignment between the 2024 edition of the UK Quality Code for Higher Education and the Office for Students (OfS) conditions of registration. This mapping allows providers to consider how they meet the baseline requirements of the OfS, but also how the Code can support them in going beyond those requirements.

This mapping is presented in two parts:

- 1. A mapping of the Quality Code to the OfS conditions of registration.
- 2. A mapping of the OfS conditions of registration to the Quality Code.

This document provides an initial high level mapping, focusing on the alignment of the Quality Code Principles with OfS conditions of registration. Following sector feedback, a more granular mapping is being prepared by QAA to indicate alignment between the detailed Key Practices within the Quality Code which will allow providers to engage in a more detailed analysis of their own practices in relation to the regulatory and QAA quality frameworks.

Please note that the text below quotes directly the OfS Conditions and Quality Code Principles from the published documents.

This mapping is not regulatory. Providers in England will need to satisfy themselves that their statutory and regulatory requirements are adhered to.

Mapping the UK Quality Code to the OfS Conditions of Registration

This mapping is presented at a high level, aligning relevant Quality Code Principles with each OfS Condition. This is then divided into two tiers:

- Principles for securing quality and academic standards that align directly with the specific OfS Condition; and
- Principles that providers may find it useful to engage with to support quality enhancement work with reference to the specific OfS Condition.

OfS B1 Academic experience Requirement

B1.2 The provider must ensure that the students registered on each higher education course receive a high quality academic experience.

B1.3 For the purposes of this condition, a high quality academic experience includes but is not limited to ensuring all of the following:

- a. each higher education course is up-to-date;
- b. each higher education course provides **educational challenge**;
- c. each higher education course is coherent;
- d. each higher education course is effectively delivered;
- e. each higher education course, as appropriate to the subject matter of the course, requires students to develop **relevant** skills.

QAA Quality Code Principles

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration B1 requirements:

Principle 10 - Supporting students to achieve their potential

Providers facilitate a framework of support for students that enables them to have a high-quality learning experience and achieve their potential as they progress in their studies. The support structure scaffolds the academic, personal and professional learning journey, enabling students to recognise and articulate their progress and achievements.

Principle 11 - Teaching, learning and assessment

Providers facilitate a collaborative and inclusive approach that enables students to have a high-quality learning experience and to progress through their studies. All students are supported to develop and demonstrate academic and professional skills and competencies. Assessment employs a variety of methods, embodying the values of academic integrity, producing outcomes that are comparable across the UK and recognised globally.

In addition, providers may find it useful to refer to the following Sector-Agreed Principles to support quality standards and enhancement work on delivering a high quality academic experience:

Principle 2 - Engaging students as partners

Providers take deliberate steps to engage students as active partners in assuring and enhancing the quality of the student learning experience. Engagement happens individually and collectively to influence all levels of study and decision making. Enhancements identified through student engagement activities are implemented, where appropriate, and communicated to staff and students.

Principle 6 - Engaging in external review and accreditation

Providers engage with external reviews to give assurance about the effectiveness of their approach to managing quality and standards. External reviews offer insights about the comparability of providers' approaches and generate outcomes that providers can use to enhance their policies and practices. Reviews may be commissioned by providers, form part of a national quality framework or linked to professional recognition and actively include staff, students and peers. They can be undertaken by representative organisations, agencies or professional, statutory and regulatory bodies (PSRBs) with recognised sector expertise according to the provision being reviewed.

Principle 7 - Designing, developing, approving and modifying programmes

Providers design, develop, approve and modify programmes and modules to ensure the quality of provision and the academic standards of awards are consistent with the relevant Qualifications Framework. Providers ensure their provision and level of qualifications are comparable to those offered across the UK and, where applicable, The Framework of Qualifications for The European Higher Education Area.

OfS B2 Resources, support and student engagement Requirement

B2.2 The provider must take all reasonable steps to ensure:

- a. each **cohort of students** registered on each higher education course receives **resources and support** which are sufficient for the purpose of ensuring:
- i. a high quality academic experience for those students; and
- ii. those students succeed in and beyond higher education.
- b. effective **engagement** with each **cohort of students** which is sufficient for the purpose of ensuring:
- i. a high quality academic experience for those students; and
- ii. those students succeed in and beyond higher education.

B2.3 For the purposes of this condition, "all reasonable steps" is to be interpreted in a manner which (without prejudice to other relevant considerations):

- a. focuses and places significant weight on:
- i. the particular academic needs of each cohort of students based on prior academic attainment and capability; and
- ii. the principle that the greater the academic needs of the cohort of students, the number and nature of the steps needed to be taken are likely to be more significant.
- b. places less weight, as compared to the factor described in B2.3a., on the provider's financial constraints; and
- c. disregards case law relating to the interpretation of contractual obligations.

QAA Quality Code Principles

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration B2 requirements:

Principle 2 - Engaging students as partners

Providers take deliberate steps to engage students as active partners in assuring and enhancing the quality of the student learning experience. Engagement happens individually and collectively to influence all levels of study and decision making. Enhancements identified through student engagement activities are implemented, where appropriate, and communicated to staff and students.

Principle 3 - Resourcing delivery of a high-quality learning experience

Providers plan, secure and maintain resources relating to learning, technology, facilities and staffing to enable the delivery and enhancement of an accessible, innovative and high-quality learning experience for students that aligns with the provider's strategy and the composition of the student body.

Principle 5 – Monitoring, evaluating and enhancing provision

Providers regularly monitor and review their provision to secure academic standards and enhance quality. Deliberate steps are taken to engage and involve students, staff and external expertise in monitoring and evaluation activity. The outcomes and impact of these activities are considered at provider level to drive reflection and enhancement across the provider.

Principle 7 – Designing, developing approving and modifying programmes

Providers design, develop, approve and modify programmes and modules to ensure the quality of provision and the academic standards of awards are consistent with the relevant Qualifications Framework. Providers ensure their provision and level of qualifications are comparable to those offered across the UK and, where applicable, The Framework of Qualifications for The European Higher Education Area.

Principle 10 - Supporting students to achieve their potential

Providers facilitate a framework of support for students that enables them to have a high-quality learning experience and achieve their potential as they progress in their studies. The support structure scaffolds the academic, personal and professional learning journey, enabling students to recognise and articulate their progress and achievements.

Principle 11 - Teaching, learning and assessment

Providers facilitate a collaborative and inclusive approach that enables students to have a high-quality learning experience and to progress through their studies. All students are supported to develop and demonstrate academic and professional skills and competencies. Assessment employs a variety of methods, embodying the values of academic integrity, producing outcomes that are comparable across the UK and recognised globally.

In addition, providers may find it useful to refer to the following Sector-Agreed Principles to support quality standards and enhancement work on resources, support and student engagement:

Principle 9 - Recruiting, selecting and admitting students

Providers operate recruitment, selection and admissions processes that are transparent, fair and inclusive. Providers maintain and publish accurate, relevant and accessible information about their provision, enabling students to make informed choices about their studies and future aspirations.

OfS B3 Student outcomes Requirement

B3.3 The provider must deliver positive outcomes for students on its **higher education courses**.

For the purposes of this condition, delivering positive outcomes means that either:

- a. in the OfS's judgement, the **outcome data** for each of the **indicators** and **split indicators** are at or above the relevant numerical thresholds; or
- b. to the extent that the provider does not have **outcome data** for each of the **indicators** and **split indicators** that are at or above the relevant numerical thresholds, the OfS otherwise judges that:
- i. the provider's **context** justifies the **outcome data**; and/or
- ii. this is because the OfS does not hold any data showing the provider's numerical performance against the **indicator** or **split indicator**; and/or
- iii. this is because the OfS does hold this data but the data refers to fewer than the **minimum number of students**.

QAA Quality Code Principles

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration B3 requirements:

Principle 4 - Using data to inform and evaluate quality

Providers collect, analyse and utilise qualitative and quantitative data at provider, departmental, programme and module levels. These analyses inform decision-making with the aim of enhancing practices and processes relating to teaching, learning and the wider student experience.

Principle 5 - Monitoring, evaluating and enhancing provision

Providers regularly monitor and review their provision to secure academic standards and enhance quality. Deliberate steps are taken to engage and involve students, staff and external expertise in monitoring and evaluation activity. The outcomes and impact of these activities are considered at provider level to drive reflection and enhancement across the provider

Principle 10 - Supporting students to achieve their potential

Providers facilitate a framework of support for students that enables them to have a high-quality learning experience and achieve their potential as they progress in their studies. The support structure scaffolds the academic, personal and professional learning journey, enabling students to recognise and articulate their progress and achievements.

Principle 11 - Teaching, learning and assessment

Providers facilitate a collaborative and inclusive approach that enables students to have a high-quality learning experience and to progress through their studies. All students are supported to develop and demonstrate academic and professional skills and competencies. Assessment employs a variety of methods, embodying the values of academic integrity, producing outcomes that are comparable across the UK and recognised globally.

In addition, providers may find it useful to refer to the following Sector-Agreed Principles to support quality standards and enhancement work on delivering positive student outcomes:

Principle 2 - Engaging students as partners

Providers take deliberate steps to engage students as active partners in assuring and enhancing the quality of the student learning experience. Engagement happens individually and collectively to influence all levels of study and decision making. Enhancements identified through student engagement activities are implemented, where appropriate, and communicated to staff and students.

Principle 9 - Recruiting, selecting and admitting students

Providers operate recruitment, selection and admissions processes that are transparent, fair and inclusive. Providers maintain and publish accurate, relevant and accessible information about their provision, enabling students to make informed choices about their studies and future aspirations. B4.2 The provider must ensure that:

- a. students are assessed effectively;
- b. each assessment is valid and reliable;
- c. **academic regulations** are designed to ensure that **relevant awards** are **credible**;
- d. subject to paragraph B4.3, in respect of each higher education course, **academic regulations** are designed to ensure the effective assessment of technical proficiency in the English language in a manner which appropriately reflects the level and content of the applicable higher education course; and
- e. **relevant awards** granted to students are **credible** at the point of being granted and when compared to those granted previously.

QAA Quality Code Principles

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration B4 requirements:

Principle 1 - Taking a strategic approach to managing quality and standards

Providers demonstrate they have a strategic approach to securing academic standards and assuring and enhancing quality that is embedded across the organisation.

Principle 4 - Using data to inform and evaluate quality

Providers collect, analyse and utilise qualitative and quantitative data at provider, departmental, programme and module levels. These analyses inform decision-making with the aim of enhancing practices and processes relating to teaching, learning and the wider student experience.

Principle 5 - Monitoring, evaluating and enhancing provision

Providers regularly monitor and review their provision to secure academic standards and enhance quality. Deliberate steps are taken to engage and involve students, staff and external expertise in monitoring and evaluation activity. The outcomes and impact of these activities are considered at provider level to drive reflection and enhancement across the provider

Principle 7 – Designing, developing approving and modifying programmes

Providers design, develop, approve and modify programmes and modules to ensure the quality of provision and the academic standards of awards are consistent with the relevant Qualifications Framework. Providers ensure their provision and level of qualifications are comparable to those offered across the UK and, where applicable, The Framework of Qualifications for The European Higher Education Area.

Principle 11 - Teaching, learning and assessment

Providers facilitate a collaborative and inclusive approach that enables students to have a high-quality learning experience and to progress through their studies. All students are supported to develop and demonstrate academic and professional skills and competencies. Assessment employs a variety of methods, embodying the values of academic integrity, producing outcomes that are comparable across the UK and recognised globally.

In addition, providers may find it useful to refer to the following Sector-Agreed Principles to support quality standards and enhancement work on assessment and awards:

Principle 2 - Engaging students as partners

Providers take deliberate steps to engage students as active partners in assuring and enhancing the quality of the student learning experience. Engagement happens individually and collectively to influence all levels of study and decision making. Enhancements identified through student engagement activities are implemented, where appropriate, and communicated to staff and students.

Principle 8 - Operating partnerships with other organisations

Providers and their partners agree proportionate arrangements for effective governance to secure the academic standards and enhance the quality of programmes and modules that are delivered in partnership with others. Organisations involved in partnership arrangements agree and communicate the mutual and specific responsibilities in relation to delivering, monitoring, evaluating, assuring and enhancing the learning experience.

OfS B5 Sector-recognised standards Requirement

B5.2 The provider must ensure that, in respect of any **relevant awards** granted to students who complete a higher education course provided by, or on behalf of, the provider (whether or not the provider is the awarding body):

- a. any standards set appropriately reflect any **applicable sector-recognised standards**; and
- awards are only granted to students whose knowledge and skills appropriately reflect any applicable sector-recognised standards.

QAA Quality Code Principles

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration B5 requirements:

Principle 7 – Designing, developing approving and modifying programmes

Providers design, develop, approve and modify programmes and modules to ensure the quality of provision and the academic standards of awards are consistent with the relevant Qualifications Framework. Providers ensure their provision and level of qualifications are comparable to those offered across the UK and, where applicable, The Framework of Qualifications for The European Higher Education Area.

Principle 8 - Operating partnerships with other organisations

Providers and their partners agree proportionate arrangements for effective governance to secure the academic standards and enhance the quality of programmes and modules that are delivered in partnership with others. Organisations involved in partnership arrangements agree and communicate the mutual and specific responsibilities in relation to delivering, monitoring, evaluating, assuring and enhancing the learning experience.

OfS B6 Teaching Excellence Framework participation Requirement

B6 The provider must participate in the Teaching Excellence Framework (TEF)

QAA Quality Code Principles

This Sector-Agreed Principle for securing quality and academic standards directly align with the OfS Condition of Registration B6 requirement:

Principle 6 - Engaging in external review and accreditation

Providers engage with external reviews to give assurance about the effectiveness of their approach to managing quality and standards. External reviews offer insights about the comparability of providers' approaches and generate outcomes that providers can use to enhance their policies and practices. Reviews may be commissioned by providers, form part of a national quality framework or linked to professional recognition and actively include staff, students and peers. They can be undertaken by representative organisations, agencies or professional, statutory and regulatory bodies (PSRBs) with recognised sector expertise according to the provision being reviewed.

OfS B7 Quality Requirement

B7.2 The provider must:

- a. have credible plans that would enable the provider, if registered, to comply with conditions B1, B2 and B4 from the date of registration; and
- b. have the capacity and resources necessary to deliver, in practice, those plans.

B7.3 For the purposes of this condition B7:

- a. "capacity and resources" includes, but is not limited to:
- i. the financial resources of the provider;
- ii. the number, expertise, and experience of the staff employed, and to be employed, by the provider;
- iii. the physical and digital learning resources deployed, and to be deployed, by the provider; and
- iv. the provider's management and governance arrangements.
- b. "credible" includes, but is not limited to, evidence of the provider's past performance delivering higher education.

QAA Quality Code Principles

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration B7 requirements:

Principle 1 - Taking a strategic approach to managing quality and standards

Providers demonstrate they have a strategic approach to securing academic standards and assuring and enhancing quality that is embedded across the organisation.

Principle 3 - Resourcing delivery of a high-quality learning experience

Providers plan, secure and maintain resources relating to learning, technology, facilities and staffing to enable the delivery and enhancement of an accessible, innovative and high-quality learning experience for students that aligns with the provider's strategy and the composition of the student body.

Principle 4 - Using data to inform and evaluate quality

Providers collect, analyse and utilise qualitative and quantitative data at provider, departmental, programme and module levels. These analyses inform decision-making with the aim of enhancing practices and processes relating to teaching, learning and the wider student experience.

Principle 5 - Monitoring, evaluating and enhancing provision

Providers regularly monitor and review their provision to secure academic standards and enhance quality. Deliberate steps are taken to engage and involve students, staff and external expertise in monitoring and evaluation activity. The outcomes and impact of these activities are considered at provider level to drive reflection and enhancement across the provider

OfS B8 Standards Requirement

(applies to applications for registration made on or after 1 May 2022)

B8.2 The provider must demonstrate, in a credible manner, that any standards to be set and/or applied in respect of any relevant awards granted to students who complete a higher education course provided by, or on behalf of, the provider (if registered), whether or not the provider is the awarding body, appropriately reflect any applicable sector-recognised standards.

Relevant Sector-Agreed Principles and Key Practices

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration B5 requirements:

Principle 7 – Designing, developing approving and modifying programmes

Providers design, develop, approve and modify programmes and modules to ensure the quality of provision and the academic standards of awards are consistent with the relevant Qualifications Framework. Providers ensure their provision and level of qualifications are comparable to those offered across the UK and, where applicable, The Framework of Qualifications for The European Higher Education Area.

Principle 8 - Operating partnerships with other organisations

Providers and their partners agree proportionate arrangements for effective governance to secure the academic standards and enhance the quality of programmes and modules that are delivered in partnership with others. Organisations involved in partnership arrangements agree and communicate the mutual and specific responsibilities in relation to delivering, monitoring, evaluating, assuring and enhancing the learning experience.

C2 Student complaints scheme

C2 The provider must:

- i. Cooperate with the requirements of the student complaints scheme run by the Office of the Independent Adjudicator for Higher Education, including the subscription requirements.
- ii. Make students aware of their ability to use the scheme.

QAA Quality Code Principles

These Sector-Agreed Principles for securing quality and academic standards directly align with the OfS Condition of Registration C2 requirements:

Principle 12 - Operating concerns, complaints and appeals processes

Providers operate processes for complaints and appeals that are robust, fair, transparent and accessible, and clearly articulated to staff and students. Policies and processes for concerns, complaints and appeals are regularly reviewed and the outcomes are used to support the enhancement of provision and the student experience.

In addition, providers may find it useful to refer to the following Sector-Agreed Principles to support quality standards and enhancement work around student complaints:

Principle 2 - Engaging students as partners

Providers take deliberate steps to engage students as active partners in assuring and enhancing the quality of the student learning experience. Engagement happens individually and collectively to influence all levels of study and decision making. Enhancements identified through student engagement activities are implemented, where appropriate, and communicated to staff and students.

Principle 10 - Supporting students to achieve their potential

Providers facilitate a framework of support for students that enables them to have a high-quality learning experience and achieve their potential as they progress in their studies. The support structure scaffolds the academic, personal and professional learning journey, enabling students to recognise and articulate their progress and achievements.

Mapping the OfS Conditions of Registration to the UK Quality Code

This mapping is presented at a high level, aligning relevant OfS Conditions with each Quality Code Principle. It includes the Quality Code in full, with the Key Practices of each Principle. Where text is emboldened this is to highlight those parts of the OfS Conditions that have particular relevance to each Quality Code Principle.

Principle 1 - Taking a strategic approach to managing quality and standards

Providers demonstrate they have a strategic approach to securing academic standards and assuring and enhancing quality that is embedded across the organisation.

Key Practices

- a. Academic standards and the quality of the student learning experience are the responsibility of the provider. Degree-awarding bodies are aware that they have ultimate responsibility for the qualifications offered in their name.
- b. The strategic approach is employed wherever and however provision is delivered and is embedded in the culture and practices of providers.
- c. The strategic approach aligns with providers' policies and practices on equity, equality, diversity and inclusion, and environmental sustainability for students and staff.
- d. The strategic approach to securing academic standards, quality assurance and enhancement is published, communicated clearly and accessible to staff, students and external stakeholders. It is supported by a comprehensive and transparent governance framework.
- e. The strategic approach is monitored and evaluated on a regular basis.
- f. External expertise is a key element of the strategic approach to managing quality and standards.

OfS Conditions

B4 Assessment and awards

B4.2 The provider must ensure that:

- a. students are assessed effectively;
- b. each assessment is valid and reliable;
- c. academic regulations are designed to ensure that relevant awards are credible;
- d. subject to paragraph B4.3, in respect of each higher education course, academic regulations are designed to ensure the effective assessment of technical proficiency in the English language in a manner which appropriately reflects the level and content of the applicable higher education course; and
- e. relevant awards granted to students are credible at the point of being granted and when compared to those granted previously.

B7 Quality

B7.2 The provider must:

- a. have credible plans that would enable the provider, if registered, to comply with conditions B1, B2 and B4 from the date of registration; and
- b. have the capacity and resources necessary to deliver, in practice, those plans.

Principle 2 - Engaging students as partners

Providers take deliberate steps to engage students as active partners in assuring and enhancing the quality of the student learning experience. Engagement happens individually and collectively to influence all levels of study and decision making. Enhancements identified through student engagement activities are implemented, where appropriate, and communicated to staff and students.

Key Practices

- a. Student engagement through partnership working is strategically led, student-centred and embedded in the culture of providers.
- b. Student engagement and representation activities are clearly defined, communicated, resourced and supported. Transparent arrangements are in place for the collective student voice to be heard and responded to.
- c. Providers demonstrate effective engagement with students, ensuring any representative groups or panels reflect the diversity of the student body. Students understand that their voice has been listened to and are aware of how their views have impacted the assurance and enhancement of the student experience.
- d. Student engagement opportunities and processes are inclusive of students' characteristics and responsive to the diversity of each provider's student population. They involve student representative bodies, where applicable.
- e. Providers and student representative bodies, where such bodies are in place, recognise and celebrate the contribution of students to the enhancement of teaching and learning and the wider student experience.
- f. Students are enabled and encouraged to actively engage in the governance and enhancement of the wider student experience beyond the formal curriculum.

OfS Conditions

B2 Resources, support and student engagement

B2.2 The provider must take all reasonable steps to ensure:

- a. each cohort of students registered on each higher education course receives resources and support which are sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.
- b. effective engagement with each cohort of students which is sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.

Principle 3 - Resourcing delivery of a high-quality learning experience

Strategic approach

Providers plan, secure and maintain resources relating to learning, technology, facilities and staffing to enable the delivery and enhancement of an accessible, innovative and high-quality learning experience for students that aligns with the provider's strategy and the composition of the student body.

Key Practices

- a. Strategic and operational plans, along with resources, align with the student journey and are designed and implemented to support a positive student experience and enable student achievement.
- Providers ensure they have dedicated, accessible and inclusive resources to support and enhance the delivery of their programmes (and smaller units of study) along with the well-being of students and staff. These include staffing, digital and physical resources.
- c. Resources are reviewed and updated in alignment with strategic developments and changes in provision, as well as staff and student recruitment. This also ensures relevance to the workplace and the wider academic discipline.
- d. Resources are allocated to ensure that staff receive ongoing professional development to support and enhance the delivery of a high-quality and innovative student learning and research experience.
- e. Processes and activities to support the management of academic standards and quality enhancement are appropriately resourced to meet strategic, operational and regulatory objectives and requirements.
- f. The creation, development and maintenance of accessible and inclusive learning environments (physical and virtual) offer all students the opportunity to be engaged in their learning experience and facilitate a sense of belonging. Providers ensure they consider environmental sustainability in designing and maintaining these learning resources and facilities.
- g. Providers, in collaboration with staff and students, monitor and evaluate on a systematic basis the effectiveness and impact of learning environments and the resources required for the delivery and enhancement of the learning experience.

OfS Conditions

B2 Resources, support and student engagement

B2.2 The provider must take all reasonable steps to ensure:

- a. each cohort of students registered on each higher education course receives resources and support which are sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.
- b. effective engagement with each cohort of students which is sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.

B7 Quality

B7.2 The provider must:

- a. have credible plans that would enable the provider, if registered, to comply with conditions B1, B2 and B4 from the date of registration; and
- b. have the capacity and resources necessary to deliver, in practice, those plans.

Principle 4 - Using data to inform and evaluate quality

Evaluating quality and standards

Providers collect, analyse and utilise qualitative and quantitative data at provider, departmental, programme and module levels. These analyses inform decision-making with the aim of enhancing practices and processes relating to teaching, learning and the wider student experience.

Key Practices

- a. A consistent, coherent and evidence-informed strategic approach to the collection, storage and management of data is employed across the provider. The provider makes explicit the type and level of data utilised (such as departmental, programme, module level) and the policies and processes that underpin its use in the maintenance of academic standards and the assurance and enhancement of quality.
- b. Staff and students are aware of the types of data gathered and how it is stored and used in the management of quality and standards.
- c. When designing and operating monitoring and evaluation arrangements, staff and students adhere to ethical and data protection requirements relating to gathering and submitting data for national data sets, regulatory purposes, and internal monitoring and evaluation.
- d. Staff who are required to collect, manipulate and analyse data for reporting, quality assurance and enhancement purposes receive training that enables them to undertake these activities effectively, ethically and securely. Policies cover any third-party use of data, including applications utilising Generative Artificial Intelligence.
- e. Providers in partnership arrangements (including the student representative body, where applicable) ensure data sharing agreements and reporting requirements are clearly stated, understood and reviewed periodically.
- f. Data is collected and analysed in ways that enable providers to understand and respond to the needs of their student populations, promoting equality, diversity and inclusion, and environmental sustainability.

OfS Conditions

B3 Student outcomes

B3.3 The provider must deliver positive outcomes for students on its higher education courses.

For the purposes of this condition, delivering positive outcomes means that either:

- a. in the OfS's judgement, the outcome data for each of the indicators and split indicators are at or above the relevant numerical thresholds; or
- b. to the extent that the provider does not have outcome data for each of the indicators and split indicators that are at or above the relevant numerical thresholds, the OfS otherwise judges that:
 - i. the provider's context justifies the outcome data; and/or
 - ii. this is because the OfS does not hold any data showing the provider's numerical performance against the indicator or split indicator; and/or
 - iii. this is because the OfS does hold this data but the data refers to fewer than the minimum number of students.

Principle 5 - Monitoring, evaluating and enhancing provision

Evaluating quality and standards

Providers regularly monitor and review their provision to secure academic standards and enhance quality. Deliberate steps are taken to engage and involve students, staff and external expertise in monitoring and evaluation activity. The outcomes and impact of these activities are considered at provider level to drive reflection and enhancement across the provider.

Key Practices

- a. Providers agree strategic principles for monitoring and evaluation to ensure processes are applied systematically, operated consistently and appropriate to their operational context.
- b. The methods for monitoring and evaluation activity are documented to clarify their aims, objectives, intended actions and targets. They are explicit about how they will be conducted, the nature of evidence (data) to be considered and the form of reporting, along with key indicators of success.
- c. Staff and students are engaged in monitoring and evaluation activities and receive appropriate training and support to undertake them.
- d. The actions and outcomes from monitoring and evaluation activities are communicated in an accessible manner to staff, students, the governing body and, where required, external stakeholders.
- e. Improvements and enhancements that have been implemented as a result of monitoring and evaluation are, in turn, monitored and evaluated to ensure their impact is positive and remains fit for purpose.
- f. Monitoring and evaluation activity facilitates providers' insights and promotion of equality, diversity and inclusion, and education for sustainable development.
- g. Programmes and modules are monitored and reviewed regularly by internal and external peers, employers and students, in line with the provider's strategic approach to quality and standards. Outcomes from processes required from funding, accrediting, professional and approval bodies feed into monitoring and review.

OfS Conditions

B2 Resources, support and student engagement

B2.2 The provider must take all reasonable steps to ensure:

- a. each cohort of students registered on each higher education course receives resources and support which are sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.
- b. effective engagement with each cohort of students which is sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.

B3 Student outcomes

B3.3 The provider must deliver positive outcomes for students on its higher education courses.

For the purposes of this condition, delivering positive outcomes means that either:

a. in the OfS's judgement, the outcome data for each of the indicators and split indicators are at or above the relevant numerical thresholds; or

- b. to the extent that the provider does not have outcome data for each of the indicators and split indicators that are at or above the relevant numerical thresholds, the OfS otherwise judges that:
 - i. the provider's context justifies the outcome data; and/or
 - ii. this is because the OfS does not hold any data showing the provider's numerical performance against the indicator or split indicator; and/or
 - iii. this is because the OfS does hold this data but the data refers to fewer than the minimum number of students.

B4 Assessment and awards

B4.2 The provider must ensure that:

- a. students are assessed effectively;
- b. each assessment is valid and reliable;
- c. academic regulations are designed to ensure that relevant awards are credible;
- d. subject to paragraph B4.3, in respect of each higher education course, academic regulations are designed to ensure the effective assessment of technical proficiency in the English language in a manner which appropriately reflects the level and content of the applicable higher education course; and
- e. relevant awards granted to students are credible at the point of being granted and when compared to those granted previously.

B7 Quality

B7.2 The provider must:

- a. have credible plans that would enable the provider, if registered, to comply with conditions B1, B2 and B4 from the date of registration; and
- b. have the capacity and resources necessary to deliver, in practice, those plans.

Principle 6 - Engaging in external review and accreditation

Evaluating quality and standards

Providers engage with external reviews to give assurance about the effectiveness of their approach to managing quality and standards. External reviews offer insights about the comparability of providers' approaches and generate outcomes that providers can use to enhance their policies and practices. Reviews may be commissioned by providers, form part of a national quality framework or linked to professional recognition and actively include staff, students and peers. They can be undertaken by representative organisations, agencies or professional, statutory and regulatory bodies (PSRBs) with recognised sector expertise according to the provision being reviewed.

Key Practices

- a. External review, whether optional or required by national quality frameworks or accrediting bodies, is built into the provider's strategic approach and aligns to internal quality and standards monitoring and evaluation activity.
- b. Providers use outcomes from external review and accreditation as a catalyst for ongoing improvement and strategic enhancement of the student learning experience.
- c. Providers acknowledge and support the expertise and resource required to participate in external review and accreditation.
- d. Providers who engage in external review understand the UK national regulatory and legislative contexts in which they operate and the different approaches, forms and focus they may take. Providers may engage colleagues with international expertise, in addition to those familiar with UK requirements.
- e. Providers understand the requirements and process for external reviews that may be required by regulators in partner delivery locations.

OfS Conditions

B6 Teaching Excellence Framework Participation

B6 The provider must participate in the Teaching Excellence Framework (TEF).

Principle 7 - Designing, developing, approving and modifying programmes

Implementing the approach to quality enhancement and standards

Providers design, develop, approve and modify programmes and modules to ensure the quality of provision and the academic standards of awards are consistent with the relevant Qualifications Framework. Providers ensure their provision and level of qualifications are comparable to those offered across the UK and, where applicable, <u>The Framework of Qualifications for The European Higher Education</u> <u>Area</u>.

Key Practices

- a. All programmes and modules meet academic standards that are consistent with relevant national qualifications and credit frameworks. Where applicable, provision also meets professional body and accreditation requirements, and apprenticeship standards.
- b. A definitive set of documents are produced from the design, development, approval and modification processes, which are held securely and act as the primary source of information about each programme. Similar but proportionate arrangements are in place for modules and smaller units of study.
- c. The award to be received and how outcomes of study are recorded and certificated are made clear to all students and staff involved in the teaching, learning and evaluation of the programme and module.
- d. Policies and processes that support the design, development, approval and modification of programmes and modules are published on each provider's website and are easily accessible to key stakeholders.
- e. External engagement and evaluation form a component part of the design, development, approval and modification process.
- f. The design, development, approval and modification processes align with providers' policies and practices on equity, equality, diversity and inclusion, and environmental sustainability.
- g. Students are involved meaningfully in the design, development, approval and modification of programmes and modules.

OfS Conditions

B2 Resources, support and student engagement

B2.2 The provider must take all reasonable steps to ensure:

- a. each cohort of students registered on each higher education course receives resources and support which are sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.
- b. effective engagement with each cohort of students which is sufficient for the purpose of ensuring:
 - i. a high quality academic experience for those students; and
 - ii. those students succeed in and beyond higher education.

B4 Assessment and awards

B4.2 The provider must ensure that:

- a. students are assessed effectively;
- b. each assessment is valid and reliable;
- c. academic regulations are designed to ensure that relevant awards are credible;

- d. subject to paragraph B4.3, in respect of each higher education course, academic regulations are designed to ensure the effective assessment of technical proficiency in the English language in a manner which appropriately reflects the level and content of the applicable higher education course; and
- e. relevant awards granted to students are credible at the point of being granted and when compared to those granted previously.

B5 Sector-recognised standards

B2.2 The provider must take all reasonable steps to ensure:

B5.2 The provider must ensure that, in respect of any relevant awards granted to students who complete a higher education course provided by, or on behalf of, the provider (whether or not the provider is the awarding body):

- a. any standards set appropriately reflect any applicable sectorrecognised standards; and
- b. awards are only granted to students whose knowledge and skills appropriately reflect any applicable sector-recognised standards.

Principle 8 - Operating partnerships with other organisations

Implementing the approach to quality enhancement and standards

Providers and their partners agree proportionate arrangements for effective governance to secure the academic standards and enhance the quality of programmes and modules that are delivered in partnership with others. Organisations involved in partnership arrangements agree and communicate the mutual and specific responsibilities in relation to delivering, monitoring, evaluating, assuring and enhancing the learning experience.

Key Practices

- a. Where academic provision is delivered through partnership, all partners agree, understand, communicate and take responsibility for the maintenance of academic standards and enhancement of quality.
- b. Providers are aware that working in partnership with other organisations will involve different levels of risk. Due diligence processes are completed in accordance with each provider's approach to minimising risk, maintaining academic standards and enhancing quality.
- c. Written agreements between partners are signed prior to the start of a programme or module and cover the lifecycle of the partnership, including details about closing a partnership.
- d. Providers and their partners ensure compliance with the regulatory and legislative requirements of the countries in which they work and maintain an awareness of the cultural context in which they operate. Providers ensure students have information about the responsibilities of each partner and where to go for support throughout their studies.
- e. Providers maintain accurate, up-to-date records of partnership arrangements that are subject to a formal agreement.
- f. Partnerships are subject to ongoing scrutiny that includes periodic monitoring, evaluation and review to assure quality and facilitate enhancement.

OfS Conditions

B5 Sector-recognised standards

B5.2 The provider must ensure that, in respect of any relevant awards granted to students who complete a higher education course provided by, or on behalf of, the provider (whether or not the provider is the awarding body):

- a. any standards set appropriately reflect any applicable sector-recognised standards; and
- b. awards are only granted to students whose knowledge and skills appropriately reflect any applicable sector-recognised standards.

Principle 9 - Recruiting, selecting and admitting students

Implementing the approach to quality enhancement and standards

Providers operate recruitment, selection and admissions processes that are transparent, fair and inclusive. Providers maintain and publish accurate, relevant and accessible information about their provision, enabling students to make informed choices about their studies and future aspirations.

Key Practices

- a. Policies and procedures for application, recruitment, selection and admission to programmes are reliable, fair, transparent and accessible, including processes for the recognition of prior learning. Similar and proportionate arrangements are in place for modules and other units of study.
- b. Providers offer information that supports prospective students, and their advisors for recruitment and widening access purposes, in making informed decisions. Providers meet their legal and regulatory obligations in relation to the information presented about themselves and their provision or any changes they make to programmes and modules.
- c. Staff, student representatives and external partners engaged in the delivery of recruitment, selection, admissions and widening access processes are appropriately trained and resourced.
- d. All teams involved in the application, selection and admissions processes ensure information about the applicant journey is consistent and clear. Specific elements of the selection process are clearly defined and any programme or module changes that can impact decision making are communicated swiftly and consistently to enable all parties to exercise informed choice.

OfS Conditions

A1 Access and participation plan

Condition A1: An Approved (fee cap) provider intending to charge fees above the basic amount to qualifying persons on qualifying courses must:

- i. Have in force an access and participation plan approved by the OfS in accordance with HERA.
- ii. Take all reasonable steps to comply with the provisions of the plan.

A2 Access and participation statement

An Approved provider or an Approved (fee cap) provider charging fees up to the basic amount to qualifying persons on qualifying courses must:

- i. Publish an access and participation statement.
- ii. Update and re-publish this statement on an annual basis.

Providers can find advice on their wider statutory obligations for recruiting, selecting and admitting students from the Competition & Markets Authority: <u>UK higher education</u> <u>providers – advice on consumer protection law</u>.

Principle 10 - Supporting students to achieve their potential

Implementing the approach to quality enhancement and standards

Providers facilitate a framework of support for students that enables them to have a high-quality learning experience and achieve their potential as they progress in their studies. The support structure scaffolds the academic, personal and professional learning journey, enabling students to recognise and articulate their progress and achievements.

Key Practices

- a. Accessible, relevant, accurate and timely information is offered to students and the staff supporting them throughout the learning journey about the provider, programme of study, wider opportunities for development and availability of support services.
- b. All students are supported at key transition points throughout their journey, with their specific needs and requirements met and their pathways into learning recognised.
- c. Students and staff are aware of the ongoing academic, professional and pastoral services and activities available, and students are encouraged to access these opportunities and support throughout their learning journey.
- d. Staff are appropriately qualified, trained and supported to deliver high-quality learning and support for all students, particularly those with specific needs and requirements.
- e. Students and staff recognise that activities offered outside the formal curriculum are beneficial for promoting students' sense of belonging, as well as providing opportunities to broaden their skills and achievements, complementing their formal studies.

OfS Conditions

B1 Academic experience

B1.2 The provider must ensure that the students registered on each higher education course receive a high quality academic experience.

B1.3 For the purposes of this condition, a high quality academic experience includes but is not limited to ensuring all of the following:

- a. each higher education course is up-to-date;
- b. each higher education course provides educational challenge;
- c. each higher education course is coherent;
- d. each higher education course is effectively delivered;
- e. each higher education course, as appropriate to the subject matter of the course, requires students to develop relevant skills.

Principle 11 - Teaching, learning and assessment

Implementing the approach to quality enhancement and standards

Providers facilitate a collaborative and inclusive approach that enables students to have a high-quality learning experience and to progress through their studies. All students are supported to develop and demonstrate academic and professional skills and competencies. Assessment employs a variety of methods, embodying the values of academic integrity, producing outcomes that are comparable across the UK and recognised globally.

Key Practices

- a. Learning and assessment at all levels is *informed by research and/ or scholarship*. Teaching, learning and assessment align to ensure students *can demonstrate their achievements, reflect on and reinforce their prior learning, skills and knowledge, and fulfil their potential.*
- b. Students are *given clear information* about the intended *modular and/or programme learning outcomes* and the *purpose of assessment* and are enabled to use feedback/ feedforward to support further learning.
- c. Staff involved in facilitating learning and supervising research are *appropriately qualified and supported to enhance their teaching and supervisory practice*. Research degrees are delivered in supportive environments that are conducive to learning and research.
- d. Students are enabled and encouraged to take responsibility for their own learning and to take an active role in shaping and enhancing the learning process. Providers offer ongoing advice and guidance about academic integrity to ensure that students and staff understand what is expected of them.
- e. As students move through their learning journey, they are given the opportunity and support to *transition effectively between academic levels, further study and employment.* Providers enable students to *recognise the progression they have made* and steps they need to take to achieve their potential.
- f. Providers design assessments that test appropriate learning outcomes and are fair, reliable, accessible, authentic and inclusive. Where applicable, and sustainable, students are offered different options for undertaking assessments to promote accessibility and inclusion.

OfS Conditions

B1 Academic experience

B1.2 The provider must ensure that the students registered on each higher education course receive a high quality academic experience.

B1.3 For the purposes of this condition, a high quality academic experience includes but is not limited to ensuring all of the following:

- a. each higher education course is up-to-date;
- b. each higher education course provides educational challenge;
- c. each higher education course is coherent;
- d. each higher education course is effectively delivered;
- e. each higher education course, as appropriate to the subject matter of the course, requires students to develop relevant skills.

- g. Providers establish *coherent approaches to technologies* that impact teaching, learning and assessment (such as Generative Artificial Intelligence). These approaches are clearly communicated to staff and students, include how they are utilised and define misuse of such technologies.
- h. Providers offer advice and guidance about *academic integrity* to ensure that students and staff understand what is expected of them throughout the learning journey. The advice is kept current.

B3 Student outcomes

B3.3 The provider must deliver positive outcomes for students on its higher education courses.

For the purposes of this condition, delivering positive outcomes means that either:

- a. in the OfS's judgement, the outcome data for each of the indicators and split indicators are at or above the relevant numerical thresholds; or
- b. to the extent that the provider does not have outcome data for each of the indicators and split indicators that are at or above the relevant numerical thresholds, the OfS otherwise judges that:
 - i. the provider's context justifies the outcome data; and/or
 - ii. this is because the OfS does not hold any data showing the provider's numerical performance against the indicator or split indicator; and/or
 - iii. this is because the OfS does hold this data but the data refers to fewer than the minimum number of students.

B4 Assessment and awards

B4.2 The provider must ensure that:

- a. students are assessed effectively;
- b. each assessment is valid and reliable;
- c. academic regulations are designed to ensure that relevant awards are credible;
- d. subject to paragraph B4.3, in respect of each higher education course, academic regulations are designed to ensure the effective assessment of technical proficiency in the English language in a manner which appropriately reflects the level and content of the applicable higher education course; and
- e. relevant awards granted to students are credible at the point of being granted and when compared to those granted previously.

Principle 12 - Operating concerns, complaints and appeals processes

Implementing the approach to quality enhancement and standards

Providers operate processes for complaints and appeals that are robust, fair, transparent and accessible, and clearly articulated to staff and students. Policies and processes for concerns, complaints and appeals are regularly reviewed and the outcomes are used to support the enhancement of provision and the student experience.

Key Practices

- a. Policies and processes for concerns, complaints and appeals are accessible, robust and inclusive, and enable early resolution wherever possible and include information relating to recruitment, selection and admission.
- b. Concerns, complaints and appeals policies and procedures, including information about them, are clear and transparent to students, those advising them and those implementing the processes. Formal and informal stages of the processes are clearly articulated.
- c. Providers meet (where applicable) the national and international requirements of external bodies with responsibility for hearing or overseeing concerns and complaints.
- d. Actions resulting from concerns, complaints and appeals are proportionate and enable cases to be resolved as early as possible.
- e. Processes for concerns, complaints and appeals are monitored and reviewed to ensure they promote enhancement throughout the provider and operate as intended, to the benefit of students and staff.
- f. Outcomes from concerns, complaints and appeals are used to develop and enhance teaching and learning and the wider student experience.

OfS Conditions

C2 Student complaints scheme

C2 The provider must:

- i. Cooperate with the requirements of the student complaints scheme run by the Office of the Independent Adjudicator for Higher Education, including the subscription requirements.
- ii. Make students aware of their ability to use the scheme.

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