

This document is published in both English and Welsh

UK Quality Code for Higher Education

June 2024



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Introduction

The UK Quality Code for Higher Education

The UK Quality Code for Higher Education (Quality Code) articulates the principles of UK higher education for securing academic standards and assuring and enhancing quality. This is the fourth version of the Quality Code since it was first developed in the late 1990s.

The Quality Code was originally developed by and for the UK higher education sector. This edition is intended to have application beyond higher education in recognition that many parts of the UK are seeking to develop tertiary approaches to education. Consequently, it should also be of interest and offer practical help to a wide range of post-secondary education providers.

The Quality Code is a sector-led reference point which can be used in a range of flexible ways. It enables providers to evaluate their policies and practices with reference to Sector-Agreed Principles and it aligns with key international reference points including the <u>Standards and</u> <u>Guidelines for Quality Assurance in the European Higher Education Area</u> (ESG).

Built on a shared understanding across the UK, the Quality Code enables providers to see what is expected of them and what they can expect of each other, irrespective of the specific regulatory framework in which they operate. It informs the public, protects students' interests and champions the UK's world-leading reputation for high-quality education provision.

Structure

The Quality Code comprises two elements - Sector-Agreed Principles and Key Practices. It is supported by a suite of Advice and Guidance.



Regulatory information

The Quality Code is UK-wide and reflects the typical approaches to delivering higher level education across the UK, while respecting different regulatory requirements. It is a key reference point in the quality approaches for Scotland, Wales and Northern Ireland. In England, providers need to comply with the <u>Office for Students' Regulatory Framework and Conditions of Registration</u>. Engaging with the Quality Code is voluntary for providers based in England, except for those providers reviewed against the Quality Code using the Educational Oversight Review (EOR) method.

Recognising the different regulatory approaches across the UK and the ways in which the Quality Code is used across different educational frameworks, providers will need to satisfy themselves that their statutory and regulatory requirements are adhered to. The Quality Code does not list all these obligations. Further information is available for providers from their national funders and regulators.

All providers across the UK can use the Quality Code to satisfy themselves, external stakeholders and international partners that their quality processes support enhancement above the baseline. Because the Quality Code aligns to the ESG, it offers providers an internationally recognised framework through which they can demonstrate their delivery of a high-quality student experience, enabling students to succeed in their studies and progress their personal and professional goals.

How the Quality Code is used

The UK Quality Code can be applied across the full range of levels, modes and locations of study and qualifications, including foundation and research degrees, modular provision and micro-credentials. The overarching Sector-Agreed Principles and Key Practices offer a framework on which to design, develop, implement and enhance the quality of provision regardless of regulatory approach, precise educational setting or level or mode of study.

More specifically the Quality Code can be used for:

Designing, developing, implementing and maintaining providers' quality and standards frameworks

The Quality Code can be used to design and shape providers' own quality and standards frameworks. These frameworks articulate providers' internal expectations for high-quality provision, which are tailored to their individual contexts. It can act as a basis for training and for benchmarking practice and management reporting on quality and standards. In addition, it can be used as a component part of programme/module approval and review, for working with partners both in the UK and overseas, and to inform continuous improvement.

Reviewing policy and practice

The Quality Code is a tool for effective evaluation and review of policy and practice. It also acts as a guide for external review, capturing Sector-Agreed Principles that providers and external reviewers can use to evaluate their practices.



Enhancing the student learning experience

Providers can use the Quality Code to systematically improve the quality of provision and the ways in which students' learning is supported. Enhancing the student experience involves providers taking deliberate steps which may be part of continuous improvement or more significant changes in policy and practice.

The different elements of the Quality Code can be used as a framework to measure the effectiveness of quality assurance and to identify areas for enhancement. For example, providers may choose to map their practice to the overarching Sector-Agreed Principles to establish a benchmark for their approach to quality and standards, then consider the Key Practices as a means to evaluate if they are meeting the Principles, before finally looking to the Advice and Guidance to enhance their practice and offer specialist practitioners more in-depth information.

Assuring and promoting equality, diversity and inclusion, and environmental sustainability

By applying the Sector-Agreed Principles and Key Practices, providers can reflect on the extent to which their approach to quality and standards supports the creation of inclusive, environmentally sustainable and equitable experiences for students and staff.

The Principles state that providers will have a strategy for equality, diversity and inclusion, and environmental sustainability that is embedded in their organisational culture. Providers are also expected to systematically monitor the arrangements they have in place to ensure they are operating effectively. This will support all students to fulfil their potential and ensure that no individual or group is disadvantaged by teaching practices or curricula. Engaging students as partners will support the wider aims of inclusivity and enable providers to develop equitable approaches to quality assurance and enhancement.

Through the application of the Sector-Agreed Principles and Key Practices, providers are encouraged to reflect on how their approach to quality and standards supports environmental sustainability. Delivery of Education for Sustainable Development supports providers to address the UN Sustainable Development Goals, which call on all providers 'to ensure all learners to acquire the knowledge and skills needed to promote sustainable development' (Target 4.7: Sustainable development and global citizenship). In addition, Education for Sustainable Development raises awareness among students and staff, helping them to develop a skillset that is future-focused and globally applicable.



Sector-Agreed Principles

The Sector-Agreed Principles identify the fundamental nature of quality and standards in UK higher education. There are 12 Principles and each has a set of Key Practices which providers can follow to demonstrate how they are adhering to that Principle.

Strategic approach

Principle 1 - Taking a strategic approach to managing quality and standards



Providers demonstrate they have a strategic approach to securing academic standards and assuring and enhancing quality that is embedded across the organisation.

- a. Academic standards and the quality of the student learning experience are the responsibility of the provider. Degree-awarding bodies are aware that they have ultimate responsibility for the qualifications offered in their name.
- b. The strategic approach is employed wherever and however provision is delivered and is embedded in the culture and practices of providers.
- c. The strategic approach aligns with providers' policies and practices on equity, equality, diversity and inclusion, and environmental sustainability for students and staff.
- d. The strategic approach to securing academic standards, quality assurance and enhancement is published, communicated clearly and accessible to staff, students and external stakeholders. It is supported by a comprehensive and transparent governance framework.
- e. The strategic approach is monitored and evaluated on a regular basis.
- f. External expertise is a key element of the strategic approach to managing quality and standards.

Principle 2 - Engaging students as partners

Providers take deliberate steps to engage students as active partners in assuring and enhancing the quality of the student learning experience. Engagement happens individually and collectively to influence all levels of study and decision making. Enhancements identified through student engagement activities are implemented, where appropriate, and communicated to staff and students.



- a. Student engagement through partnership working is strategically led, student-centred and embedded in the culture of providers.
- b. Student engagement and representation activities are clearly defined, communicated, resourced and supported. Transparent arrangements are in place for the collective student voice to be heard and responded to.
- c. Providers demonstrate effective engagement with students, ensuring any representative groups or panels reflect the diversity of the student body. Students understand that their voice has been listened to and are aware of how their views have impacted the assurance and enhancement of the student experience.
- d. Student engagement opportunities and processes are inclusive of students' characteristics and responsive to the diversity of each provider's student population. They involve student representative bodies, where applicable.
- e. Providers and student representative bodies, where such bodies are in place, recognise and celebrate the contribution of students to the enhancement of teaching and learning and the wider student experience.
- f. Students are enabled and encouraged to actively engage in the governance and enhancement of the wider student experience beyond the formal curriculum.

Principle 3 - Resourcing delivery of a high-quality learning experience

Providers plan, secure and maintain resources relating to learning, technology, facilities and staffing to enable the delivery and enhancement of an accessible, innovative and high-quality learning experience for students that aligns with the provider's strategy and the composition of the student body.



- a. Strategic and operational plans, along with resources, align with the student journey and are designed and implemented to support a positive student experience and enable student achievement.
- b. Providers ensure they have dedicated, accessible and inclusive resources to support and enhance the delivery of their programmes (and smaller units of study) along with the well-being of students and staff. These include staffing, digital and physical resources.
- c. Resources are reviewed and updated in alignment with strategic developments and changes in provision, as well as staff and student recruitment. This also ensures relevance to the workplace and the wider academic discipline.
- d. Resources are allocated to ensure that staff receive ongoing professional development to support and enhance the delivery of a high-quality and innovative student learning and research experience.
- e. Processes and activities to support the management of academic standards and quality enhancement are appropriately resourced to meet strategic, operational and regulatory objectives and requirements.
- f. The creation, development and maintenance of accessible and inclusive learning environments (physical and virtual) offer all students the opportunity to be engaged in their learning experience and facilitate a sense of belonging. Providers ensure they consider environmental sustainability in designing and maintaining these learning resources and facilities.
- g. Providers, in collaboration with staff and students, monitor and evaluate on a systematic basis the effectiveness and impact of learning environments and the resources required for the delivery and enhancement of the learning experience.

Principle 4 - Using data to inform and evaluate quality

Providers collect, analyse and utilise qualitative and quantitative data at provider, departmental, programme and module levels. These analyses inform decision-making with the aim of enhancing practices and processes relating to teaching, learning and the wider student experience.



- a. A consistent, coherent and evidence-informed strategic approach to the collection, storage and management of data is employed across the provider. The provider makes explicit the type and level of data utilised (such as departmental, programme, module level) and the policies and processes that underpin its use in the maintenance of academic standards and the assurance and enhancement of quality.
- b. Staff and students are aware of the types of data gathered and how it is stored and used in the management of quality and standards.
- c. When designing and operating monitoring and evaluation arrangements, staff and students adhere to ethical and data protection requirements relating to gathering and submitting data for national data sets, regulatory purposes, and internal monitoring and evaluation.
- d. Staff who are required to collect, manipulate and analyse data for reporting, quality assurance and enhancement purposes receive training that enables them to undertake these activities effectively, ethically and securely. Policies cover any third-party use of data, including applications utilising Generative Artificial Intelligence.
- e. Providers in partnership arrangements (including the student representative body, where applicable) ensure data sharing agreements and reporting requirements are clearly stated, understood and reviewed periodically.
- f. Data is collected and analysed in ways that enable providers to understand and respond to the needs of their student populations, promoting equality, diversity and inclusion, and environmental sustainability.

Principle 5 - Monitoring, evaluating and enhancing provision

Providers regularly monitor and review their provision to secure academic standards and enhance quality. Deliberate steps are taken to engage and involve students, staff and external expertise in monitoring and evaluation activity. The outcomes and impact of these activities are considered at provider level to drive reflection and enhancement across the provider.



- a. Providers agree strategic principles for monitoring and evaluation to ensure processes are applied systematically, operated consistently and appropriate to their operational context.
- b. The methods for monitoring and evaluation activity are documented to clarify their aims, objectives, intended actions and targets. They are explicit about how they will be conducted, the nature of evidence (data) to be considered and the form of reporting, along with key indicators of success.
- c. Staff and students are engaged in monitoring and evaluation activities and receive appropriate training and support to undertake them.
- d. The actions and outcomes from monitoring and evaluation activities are communicated in an accessible manner to staff, students, the governing body and, where required, external stakeholders.
- e. Improvements and enhancements that have been implemented as a result of monitoring and evaluation are, in turn, monitored and evaluated to ensure their impact is positive and remains fit for purpose.
- f. Monitoring and evaluation activity facilitates providers' insights and promotion of equality, diversity and inclusion, and education for sustainable development.
- g. Programmes and modules are monitored and reviewed regularly by internal and external peers, employers and students, in line with the provider's strategic approach to quality and standards. Outcomes from processes required from funding, accrediting, professional and approval bodies feed into monitoring and review.

Principle 6 - Engaging in external review and accreditation

Providers engage with external reviews to give assurance about the effectiveness of their approach to managing quality and standards. External reviews offer insights about the comparability of providers' approaches and generate outcomes that providers can use to enhance their policies and practices. Reviews may be commissioned by providers, form part of a national quality framework or linked to professional recognition and actively include staff, students and peers. They can be undertaken by representative organisations, agencies or professional, statutory and regulatory bodies (PSRBs) with recognised sector expertise according to the provision being reviewed.



- a. External review, whether optional or required by national quality frameworks or accrediting bodies, is built into the provider's strategic approach and aligns to internal quality and standards monitoring and evaluation activity.
- b. Providers use outcomes from external review and accreditation as a catalyst for ongoing improvement and strategic enhancement of the student learning experience.
- c. Providers acknowledge and support the expertise and resource required to participate in external review and accreditation.
- d. Providers who engage in external review understand the UK national regulatory and legislative contexts in which they operate and the different approaches, forms and focus they may take. Providers may engage colleagues with international expertise, in addition to those familiar with UK requirements.
- e. Providers understand the requirements and process for external reviews that may be required by regulators in partner delivery locations.

Implementing the approach to quality enhancement and standards

Principle 7 - Designing, developing, approving and modifying programmes

Providers design, develop, approve and modify programmes and modules to ensure the quality of provision and the academic standards of awards are consistent with the relevant Qualifications Framework. Providers ensure their provision and level of qualifications are comparable to those offered across the UK and, where applicable, <u>The Framework of Qualifications for The European</u> <u>Higher Education Area</u>.

- a. All programmes and modules meet academic standards that are consistent with relevant national qualifications and credit frameworks. Where applicable, provision also meets professional body and accreditation requirements, and apprenticeship standards.
- b. A definitive set of documents are produced from the design, development, approval and modification processes, which are held securely and act as the primary source of information about each programme. Similar but proportionate arrangements are in place for modules and smaller units of study.
- c. The award to be received and how outcomes of study are recorded and certificated are made clear to all students and staff involved in the teaching, learning and evaluation of the programme and module.
- d. Policies and processes that support the design, development, approval and modification of programmes and modules are published on each provider's website and are easily accessible to key stakeholders.
- e. External engagement and evaluation form a component part of the design, development, approval and modification process.
- f. The design, development, approval and modification processes align with providers' policies and practices on equity, equality, diversity and inclusion, and environmental sustainability.
- g. Students are involved meaningfully in the design, development, approval and modification of programmes and modules.



Principle 8 - Operating partnerships with other organisations

Providers and their partners agree proportionate arrangements for effective governance to secure the academic standards and enhance the quality of programmes and modules that are delivered in partnership with others. Organisations involved in partnership arrangements agree and communicate the mutual and specific responsibilities in relation to delivering, monitoring, evaluating, assuring and enhancing the learning experience.



- a. Where academic provision is delivered through partnership, all partners agree, understand, communicate and take responsibility for the maintenance of academic standards and enhancement of quality.
- b. Providers are aware that working in partnership with other organisations will involve different levels of risk. Due diligence processes are completed in accordance with each provider's approach to minimising risk, maintaining academic standards and enhancing quality.
- c. Written agreements between partners are signed prior to the start of a programme or module and cover the lifecycle of the partnership, including details about closing a partnership.
- d. Providers and their partners ensure compliance with the regulatory and legislative requirements of the countries in which they work and maintain an awareness of the cultural context in which they operate. Providers ensure students have information about the responsibilities of each partner and where to go for support throughout their studies.
- e. Providers maintain accurate, up-to-date records of partnership arrangements that are subject to a formal agreement.
- f. Partnerships are subject to ongoing scrutiny that includes periodic monitoring, evaluation and review to assure quality and facilitate enhancement.

Principle 9 - Recruiting, selecting and admitting students

Providers operate recruitment, selection and admissions processes that are transparent, fair and inclusive. Providers maintain and publish accurate, relevant and accessible information about their provision, enabling students to make informed choices about their studies and future aspirations.



- a. Policies and procedures for application, recruitment, selection and admission to programmes are reliable, fair, transparent and accessible, including processes for the recognition of prior learning. Similar and proportionate arrangements are in place for modules and other units of study.
- b. Providers offer information that supports prospective students, and their advisors for recruitment and widening access purposes, in making informed decisions. Providers meet their legal and regulatory obligations in relation to the information presented about themselves and their provision or any changes they make to programmes and modules.
- c. Staff, student representatives and external partners engaged in the delivery of recruitment, selection, admissions and widening access processes are appropriately trained and resourced.
- d. All teams involved in the application, selection and admissions processes ensure information about the applicant journey is consistent and clear. Specific elements of the selection process are clearly defined and any programme or module changes that can impact decision making are communicated swiftly and consistently to enable all parties to exercise informed choice.

Principle 10 - Supporting students to achieve their potential

Providers facilitate a framework of support for students that enables them to have a high-quality learning experience and achieve their potential as they progress in their studies. The support structure scaffolds the academic, personal and professional learning journey, enabling students to recognise and articulate their progress and achievements.



- a. Accessible, relevant, accurate and timely information is offered to students and the staff supporting them throughout the learning journey about the provider, programme of study, wider opportunities for development and availability of support services.
- b. All students are supported at key transition points throughout their journey, with their specific needs and requirements met and their pathways into learning recognised.
- c. Students and staff are aware of the ongoing academic, professional and pastoral services and activities available, and students are encouraged to access these opportunities and support throughout their learning journey.
- d. Staff are appropriately qualified, trained and supported to deliver high-quality learning and support for all students, particularly those with specific needs and requirements.
- e. Students and staff recognise that activities offered outside the formal curriculum are beneficial for promoting students' sense of belonging, as well as providing opportunities to broaden their skills and achievements, complementing their formal studies.

Principle 11 - Teaching, learning and assessment

Providers facilitate a collaborative and inclusive approach that enables students to have a high-quality learning experience and to progress through their studies. All students are supported to develop and demonstrate academic and professional skills and competencies. Assessment employs a variety of methods, embodying the values of academic integrity, producing outcomes that are comparable across the UK and recognised globally.



- a. Learning and assessment at all levels is informed by research and/or scholarship. Teaching, learning and assessment align to ensure students can demonstrate their achievements, reflect on and reinforce their prior learning, skills and knowledge, and fulfil their potential.
- b. Students are given clear information about the intended modular and/or programme learning outcomes and the purpose of assessment and are enabled to use feedback/ feedforward to support further learning.
- c. Staff involved in facilitating learning and supervising research are appropriately qualified and supported to enhance their teaching and supervisory practice. Research degrees are delivered in supportive environments that are conducive to learning and research.
- d. Students are enabled and encouraged to take responsibility for their own learning and to take an active role in shaping and enhancing the learning process. Providers offer ongoing advice and guidance about academic integrity to ensure that students and staff understand what is expected of them.
- e. As students move through their learning journey, they are given the opportunity and support to transition effectively between academic levels, further study and employment. Providers enable students to recognise the progression they have made and steps they need to take to achieve their potential.
- f. Providers design assessments that test appropriate learning outcomes and are fair, reliable, accessible, authentic and inclusive. Where applicable, and sustainable, students are offered different options for undertaking assessments to promote accessibility and inclusion.
- g. Providers establish coherent approaches to technologies that impact teaching, learning and assessment (such as Generative Artificial Intelligence). These approaches are clearly communicated to staff and students, include how they are utilised and define misuse of such technologies.
- h. Providers offer advice and guidance about academic integrity to ensure that students and staff understand what is expected of them throughout the learning journey. The advice is kept current.

Principle 12 - Operating concerns, complaints and appeals processes

Providers operate processes for complaints and appeals that are robust, fair, transparent and accessible, and clearly articulated to staff and students. Policies and processes for concerns, complaints and appeals are regularly reviewed and the outcomes are used to support the enhancement of provision and the student experience.



- a. Policies and processes for concerns, complaints and appeals are accessible, robust and inclusive, and enable early resolution wherever possible and include information relating to recruitment, selection and admission.
- b. Concerns, complaints and appeals policies and procedures, including information about them, are clear and transparent to students, those advising them and those implementing the processes. Formal and informal stages of the processes are clearly articulated.
- c. Providers meet (where applicable) the national and international requirements of external bodies with responsibility for hearing or overseeing concerns and complaints.
- d. Actions resulting from concerns, complaints and appeals are proportionate and enable cases to be resolved as early as possible.
- e. Processes for concerns, complaints and appeals are monitored and reviewed to ensure they promote enhancement throughout the provider and operate as intended, to the benefit of students and staff.
- f. Outcomes from concerns, complaints and appeals are used to develop and enhance teaching and learning and the wider student experience.

Glossary of key terms

The terms below are defined in the context of using the Quality Code. Further information regarding the definitions will be made available in the Advice and Guidance that supports the Quality Code.

Please note these terms may be defined differently in other contexts (within and beyond higher education) and by different stakeholders (such as regulators, students and individual providers).

- The students' experience of studying and their experience of any other Academic resources, support, facilities and opportunities that the provider makes experience available to support their learning. Academic A commitment to the fundamental values of honesty, trust, fairness, respect and responsibility. The opposite of academic integrity is unethical practices Integrity such as plagiarism, collusion, contract cheating or academic misconduct. The deliberate and systematic improvement in the quality of provision Enhancement and the ways in which students' learning is supported, involving the active engagement of students and staff. Enhancement has different interpretations across the UK with some UK nations having an 'enhancement-led' regulatory framework. Each individual or group of people is given the same resources or Equality opportunities, but QAA considers that equality should include the concept of 'equity,' which recognises that each person has different needs and therefore resources and opportunities should be allocated to reach an equal outcome. Quality assurance and enhancement practices and processes that are **High quality** robust and implemented consistently with a view to assuring and enhancing the student experience. It leads to credible and recognised outcomes for students.
- Module A self-contained, formally structured unit of study with a coherent and explicit set of learning outcomes and assessment criteria. Some institutions use the word 'course' to refer to individual modules. Can be referred to as 'units of study' in further education providers.

Partnership	All formal arrangements (in the UK or overseas) where a provider works with others to design and/or deliver courses and/or to award qualifications. The processes providers will need to follow to assure high quality will vary considerably depending on the type of partnership and the risks involved. Types of partnership include:
	 validation/approval subcontracting/franchising work-based learning collaboration with employers (including to deliver apprenticeships) transnational education (TNE) international partnerships and collaborations
-	 hybrid approaches combining more than one of the above.
Programme (of study)	An approved course of study that provides a coherent learning experience and normally leads to a qualification. UK higher education programmes must be approved and validated by UK degree-awarding bodies.
Quality	Refers to how well providers support students to consistently achieve positive outcomes in learning, personal development and career advancement, while meeting the reasonable expectations of those students, employers, government and society in general.
Standards/ academic standards	These are the standards that degree-awarding bodies set and maintain for the award of academic credit or qualifications. Degree-awarding bodies are responsible for defining their own academic standards by setting the pass marks and determining the grading/marking schemes and any criteria for classification of qualifications that differentiate between levels of student achievement above and below the threshold academic standards. These individual standards align to national qualifications and credit frameworks and/or The Framework of Qualifications for the European Higher Education Area.
Strategic approach	A clearly articulated approach to managing quality and standards as part of a provider's education strategy, with comprehensive and effective governance and decision-making structures reaching up to governing bodies and including operational levels. Governance, and governance frameworks, refers in this context to processes and systems that define and govern institutional decision making.
Students	All learners/students irrespective of background or any protected characteristics, studying at any level and by any mode (including, but not limited to, undergraduate and postgraduate; full-time and part-time; distance, work-based and on-campus learners; higher education apprentices).
Students as partners	Working with students as a 'partner' or in 'partnership' is used to define and indicate joint working between students (or the student representative body, students' union, association, or guild if they have one) and staff at the provider. The level of each partner's engagement will vary depending on the context and nature of the student experience. Student partnerships reflect a mature relationship based on mutual respect between students and staff.

Student voice The individual or collective perspective opinion, feedback or contribution of students. It can relate to any aspect of the academic or wider student experience and should be sought across a provider covering the breadth of provision and included in decision-making committees. The use of student voice is integral to the quality enhancement of provision.

Tertiary education

All post-secondary education encompassing further and higher education including academic, vocational and professional qualifications. How the term is interpreted varies between the UK nations:

- In Scotland it refers to college, university and work-based learning.
- In Wales it refers to all provision from post-16 education (including sixth form) upwards.
- In England and Northern Ireland it is a generic term which can cover further and higher education, but not sixth-form provision.

When working with overseas partners, providers should also check the definition as understood by their partner as the meaning of 'tertiary' may vary in different countries.

Wider student experience/ co-curricular experience

The student experience beyond that of formal academic learning, for example experience gained through representation, participation in sports and societies, careers or volunteering.



Published - 27 June 2024

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